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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		Application Number	r 10/052,368				
TRANSMITTAL FORM		Filing Date	January 23, 2002	January 23, 2002			
		First Named Inventor	or Toshiaki Tokita	- R			
(to be used for all correspondence afte	er initial filing)	Group Art Unit	2632	Apr			
•		Examiner Name	Not Yet Assigned PCh	- <u>''</u> -\-\-\-\			
Total Number of Pages in This Submission		Attorney Docket Numb	nber J4520.0001/P001	APP APP Anology			
	ENCLOS	SURES (check all	I that apply)				
X Fee Transmittal Form	Assignment F	Papers	After Allowance Communication to Group				
X Fee Attached	Drawing(s)		Appeal Communication to Boa	ard of			
Amendment/Reply	Amendment/Reply Licensing-rela		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	ıp			
After Final	Petition	Proprietary Information					
Affidavits/declaration(s)	Petition to Co	onvert to a Provisional	Status Letter				
Extension of Time Request	Power of Attor Change of Cc	orney, Revocation orrespondence Address	Other Enclosure(s) (please identify below)				
Express Abandonment Request	Terminal Disc	claimer	Date-stamped postcard; co Combined Declaration and	py of			
Information Disclosure Statement	Request for F	Refund	Power of Attorney				
Certified Copy of Priority Document(s)	CD, Number of	of CD(s)					
Response to Missing Parts/ Incomplete Application	Remarks						
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNA	TURE OF APPLICA	ANT, ATTORNEY, OR A	AGENT				
Firm or Individual Name DICKSTEIN SHAPI	IRO MORIN & OSHINSKY LLP Reg.No. 33,082						
Signature M	The state of the s	7					
Date March 11, 2002							

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL Complete if Known 10/052,368							
for FY 2002 Mar 1		Appl		n Num	ber 10/052,368		
for FY 2002 Mg 1.		Ph)	g Date	Э	January 23, 2002		
Patent fees are subject to annual reviewn.	2002	F ff st	Nam	ed Inve	entor Toshiaki Tokita		
<u> </u>			niner l	Name	Not Yet Assigned		
Applicant claims small entity status. See 37 127	, ak of	· ·	p Art		2632		
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Deposit Account Dickstein Shapiro Morin &	105	130	205	65	Surcharge – late filing fee or oath 130.00		
Name Oshinsky LLP The Commissioner is hereby authorized to: (check all that apply)	127	50	227	25	Surcharge – late provisional filing fee or cover		
Charge fee(s) indicated below X Credit any overpayments	139	130	139	120	sheet.		
X Charge any additional fee(s) during the pendency of this	ł			130	Non-English specification		
application	147	2,520	147	2,520	o parte residentiation		
Charge fee(s) indicated below, except for the filing fee	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
to the above-identified deposit account.	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	115	110	215	55	Extension for reply within first month	}	
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month	1	
Fee	117	920	217	460	Extension for reply within third month		
Code (\$) Code (\$) Fee Description Fee Paid	118	1,440	218	720	Extension for reply within fourth month		
101 740 201 370 Utility filing fee	128	1,960	228	980	Extension for reply within fifth month		
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee	119	320	219	160	Notice of Appeal		
108 740 208 370 Reissue filing fee	120 121	320 280	220 221	160 140	Filing a brief in support of an appeal		
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	Request for oral hearing Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0.00	140	110	240	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 0.00		1,280	241	640	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	142	1,280	242	640	Utility issue fee (or reissue)		
Extra Fee from Fee Paid	143	460	243	230	Design issue fee		
Total Claims x = x = Independent	144	620	244	310	Plant issue fee		
-** =x=	122	130	122	130	Petitions to the Commissioner		
Multiple Dependent	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee	126	180	126	180	Submission of Information Disclosure Stmt		
Fee Fee Fee Code (\$) Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)		
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection		
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim if not paid	149	740	249	270	(37 CFR 1.129(a)) For each additional invention to be		
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	179		279	370	examined (37CFR 1.129(b))		
over original patent					Request for Continued Examination (RCE) Request for expedited examination		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 Other fo	900	169	900	of a design application		
	Other fe				David Company		
SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3 (\$) 130.00							
SUBMITTED BY Complete (if applicable)							
Name (Print/Type) Mark J. Thronson Registration No. (Attorney/Agent) 33,082				Telephone (202) 775-4742			
Signature A		<u></u>			Date March 11, 2002		
					Maion 11, 2002		





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS ATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/052,368

01/23/2002

Toshiaki Tokita

J4520.0001/P001

CONFIRMATION NO. 5494

24998 **DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP** 2101 L STREET NW **WASHINGTON, DC 20037-1526**

FORMALITIES LETTER *OC000000007540529*

Date Mailed: 02/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

N RECEIVED

Technology Center 2600 An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2002 CV0111

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Docket No.: J4520.0001/P001

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Toshiaki Tokita, et al.

Confirmation No.: 5494

Application No.: 10/052,368

Group Art Unit: 2632

Filed: January 23, 2002

Examiner: Not Yet Assigned

For: LIGHT DEFLECTION ELEMENT,

LIGHT DEFLECTION DEVICE AND

RECEIVED

APR 0 3 2002

IMAGE DISPLAY DEVICE

Technology Center 2600

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts

Commissioner for Patents Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed February 26, 2002, Applicants respectfully submit a copy of the Combined Declaration and Power of Attorney which was filed in the U.S. Patent and Trademark Office on February 20, 2002. A copy of the date-stamped postcard evidencing the filing of the same is enclosed herewith.

Further enclosed is our check in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e). The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any

Application No.: 10/052,368

Docket No.: J4520.0001/P001

paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. J4520.0001/P001. A duplicate copy of this paper is enclosed.

Dated: March 11, 2002

Respectfully submitted,

Mark J. Thronson

Registration No.: 33,082

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicant



Atty Docket No.: J4520.0001/P001

Inventor: Toshiaki Tokita, et al.

Application No.: 10/052,368 Filing Date: January 23, 2002
Title: LIGHT DEFLECTION ELEMENT, LIGHT DEFLECTION DEVICE AND IMAGE DISPLAY

Documents Filed:

Transmittal (1 page)

Declaration and Power of Attorney (5 pages)

RECEIVED

APR 03 2002 Technology Center 2600

Via: PTO Daily Run

Sender's Initials: MJT/djp

Date: February 20, 2002